



40175

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael D. Lock et al.

Serial No.: 09/853,037

Filed: May 11, 2001

For: SYSTEM FOR IDENTIFYING CLUSTERS
IN SCATTER PLOTS USING SMOOTHED
POLYGONS WITH OPTIMAL BOUNDARIES :

Attention: Box Missing Parts

COMPLETION OF APPLICATION UNDER 37 C.F.R. § 1.53

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

In response to the Communication dated July 11, 2001, copy attached, submitted herewith are:

- ☒ An executed Declaration and Power of Attorney.
- ☒ Filing fee, \$710, surcharge \$130, additional claim fee \$394, and assignment recording fee \$40.
- ☒ An assignment of the invention to Becton Dickinson and Company.
- ☒ Information Disclosure Statement/Form PTO-1449 and with references.
- ☒ 12 Sheets of Formal Drawings.
- ☒ Applicants Petition for a one-month extension of time and submit herewith the fee of \$110.
- ☒ A check in the amount of \$1384 is attached.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.
 - ☒ Any additional patent application filing fees under 37 C.F.R. § 1.16.
 - ☒ Any additional patent application processing fees under 37 C.F.R. § 1.17.

09/13/2001 NABD11 00000140 05853037

06 FC:115

110.00 SP

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Dated: 11 September 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,037	05/11/2001	Michael D. Lock	40175

CONFIRMATION NO. 5096

FORMALITIES LETTER



OC00000000628481

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Date Mailed: 07/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$394.
 - \$234 for 13 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1234.

09/12/2001 MADD11 00000040 09853037

01 FC:101	710.00 DP
02 FC:102	160.00 DP
03 FC:103	234.00 DP
04 FC:105	130.00 DP

*A copy of this notice **MUST** be returned with the reply.*

AM

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE